

FORM PTO-1449 (modified) To: U.S. Department of Commerce (PW FORM PAT-1449) Patent and Trademark Office				Atty. Dkt. No.	M#	Client R. f.
					0306031	T4KM-03S0447

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

Date: September 22, 2003

Page **1** of **1**

Applicant: Tooru SUGIYAMA et al.

Appln. No.: UNASSIGNED

Filing Date: September 22, 2003

Examiner: UNKNOWN

Group Art Unit: UNKNOWN

**U.S. PATENT DOCUMENTS**

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
SP	AR	4,807,954	02/1989	Oyamada et al.			
SP	BR	5,332,690	07/1994	Cho et al.			
SP	CR	5,677,920	10/1997	Waarts et al.			
SP	DR	5,696,865	12/1997	Beeson et al.			
SP	ER	5,902,033	05/1999	Levis et al.			
SP	FR	6,139,156	10/2000	Okamori et al.			
	GR						
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	IR						
	JR						
	KR						
	LR						
	MR						
	NR						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
SP	OR	2002-267861	09/2002	Japan	Sugiyama	X			X
	PR								
	QR								
	RR								
	SR								
	TR								
	UR								
	VR								
	WR								
	XR								

**OTHER (including in this order: Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

SP	YR	Wenger et al, "Design and Fabrication of Monolithic Optical Spot Size Transformers (MOST's) for Highly Efficient Fiber-Chip Coupling," 8217 Journal of Lightwave Technology, October 12, 1994, No. 10, pages 1782-1790		
	ZR			
	AAR			
	BBR			

Examiner /Sung Pak/ Date Considered: 09/19/2006

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449 (modified)  
 To: U.S. Department of Commerce  
 (PW FORM PAT-1449)  
 Patent and Trademark Office



Atty. Dkt. No.	M#	Client Ref.
	008312-0306031	T4KM-03S0447
Applicant:	Tooru SUGIYAMA et al.	
Appln. No.:	10/665,437	
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Examiner:	UNKNOWN	Group Art Unit: 2828

**INFORMATION DISCLOSURE STATEMENT  
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Date: December 6, 2005

Page **1** of **1**

**U.S. PATENT DOCUMENTS**

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
AR						
BR						
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DR						
ER						
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**FOREIGN PATENT DOCUMENTS**

		Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract	Translation Readily Available		
							Enclosed	No	Enclose	No
SP	OR	JP 2001-291922	10/2001	Japan	Kondo et al.		x			X
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	QR									
	RR									
	SR									
	TR									
	UR									
	VR									
	WR									
	XR									

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

SP	YR	Japanese Office Action dated November 8, 2005 for Appln. No. 2002-347506
	ZR	
	AAR	
	BBR	

Examiner **/Sung Pak/** Date Considered: **09/19/2006**

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